



Receipt  
#8  
AN

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Heck, et al.  
Appl. No. : 09/880,695  
Filed : 06/12/2001  
Title : Unsaturated palm oil fatty alcohols

COPY OF PAPERS  
ORIGINALLY FILED

Grp./A.U. : 1621  
Examiner : Unknown

Docket No. : H 3172A PCT/US

**RECEIVED**

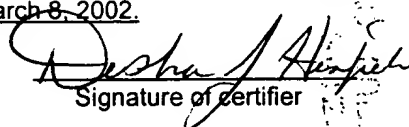
APR 17 2002

TECH CENTER 1600/2900

CERTIFICATE OF MAILING PER 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231, on March 8, 2002.

March 8, 2002  
Date

  
Signature of certifier

Desha Henfield  
Typed or printed name of certifier

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

We hereby request the following correction of the Filing Receipt for Serial No. 09/880,695.

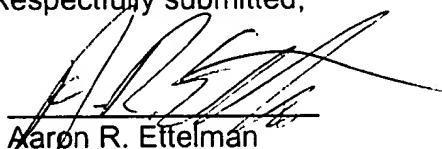
The first line under Domestic Priority data in the above referenced application should be corrected to read:

THIS APPLICATION IS A CON OF 09/554,631 07/28/2000

Thank you for your assistance in this matter.

March 8, 2002  
Date

Respectfully submitted,

  
Aaron R. Ettelman  
(Reg. No. 42,516)  
Attorney for Applicants  
(610) 278-4930

Cognis Corporation  
2500 Renaissance Blvd. St. 200  
Gulph Mills, PA 19406

Enc.: (1) Filing Receipt (true copy)  
(2) Declaration

ARE/dh

**RECEIVED**  
APR 12 2002  
TC 1700



## UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

Page 1 of 2

me

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/880,695	06/12/2001	1621	870	H 3172A- PCT/US		2	2

23657  
COGNIS CORPORATION  
2500 RENAISSANCE BLVD., SUITE 200  
GULPH MILLS, PA 19406CONFIRMATION NO. 6462  
UPDATED FILING RECEIPT

\*OC00000007043070\*

Date Mailed: 11/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Stephan Heck, Pulheim, GERMANY;  
Norbert Klein, Mettmann, GERMANY;  
Horst-Dieter Komp, Langenfeld, GERMANY;  
Christiane Boehr, Leverkusen, GERMANY;  
Norbert Huebner, Duesseldorf, GERMANY;  
Alfred Westfechtel, Hilden, GERMANY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/554,631 07/26/2000 ABN  
WHICH IS A 371 OF PCT/EP98/07148 11/09/1998

## Foreign Applications

GERMANY 197 50 800.6 11/17/1997

If Required, Foreign Filing License Granted 07/09/2001

Projected Publication Date: 02/14/2002

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED

APR 17 2002

TECH CENTER 1600/2900

RECEIVED

APR 12 2002

TC 1700

RECEIVED HENKEL LAW DEPT  
ACTION \_\_\_\_\_ INFO \_\_\_\_\_

REC'D NOV 13 2001

DUE June  
FILE \_\_\_\_\_

Unsaturated palm oil fatty alcohols

**Preliminary Class**

514

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).